

Attorney's Docket No.: 007260.P002

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING UNDER 35 U.S.C. § 371

In re P	atent Application of:)		
a +	Dong-Hyun Kim, et al.)		
)	Examiner:	Not Yet Assigned
Applic	ration No.: 10/536,791)		
)	Art Unit:	Not Yet Assigned
Filed:	May 26, 2005)		
)		
For:	GINSENG FERMENTED BY LACTIC)		
	ACID BACTERIUM, YOGHURT)		
	CONTAINING THE SAME, AND)		
	LACTIC ACID BACTERIA USED IN)		
	PREPARATION THEREOF)		
		_)		

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

In response to the Notification Of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US) mailed on October 18, 2005, please find enclosed:

- (1) The Declaration and Power of Attorney executed by the inventors in compliance with 37 CFR § 1.497(a) and (b);
- (2) A check in the amount of \$65.00 in payment of the surcharge of 37 C.F.R. § 1.492(e) (late oath or declaration) (small entity fee);

(3) A copy of the Notification Of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US).

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: December 19, 2005

Stephen M. De Klerk Reg. No. 46,503

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (408) 720-8300

First-Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450 on:

December 19, 2005

Date of Deposit

Linda K. Brost

Name of Person Mailing Correspondence

Signature

Date

JAP3 Rec'd PCT/PTO 21 DEC 2005

#5

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
7260P002
U.S. APPLICATION NO. (II known, see 37 CFR 1.5)

	CONCERNING A FI	LING UNDER 35 0.5.C. 37 1	10/536,791						
INTERN	NATIONAL APPLICATION NO.	PRIORITY DATE CLAIMED							
F	PCT/KR2003/002609	29 November 2003	5 December 2002						
	OF INVENTION FERMENTED BY LACTIC ACID BACTERIUM,	OGHURT CONTAINING THE SAME, AND LACTIC ACID BACTERIA USED IN P	REPARATION THEREOF						
	ANT(S) FOR DO/EO/US								
Dong Hyun Kim, et al.									
Applica	nt herewith submits to the Unit	ed States Designated/Elected Office (DO/EO/US) the	e following items and other information:						
1.	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. 🛚	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	The US has been elected (A	ticle 31).							
5. [A copy of the International A	oplication as filed (35 U.S.C. 371(c)(2))							
	a. is attached hereto (re	equired only if not communicated by the Internationa	al Bureau).						
	b. has been communic	ated by the International Bureau.							
	c. is not required, as the	e application was filed in the United States Receiving	g Office (RO/US).						
6.	An English language translat	ion of the International Application as filed (35 U.S.C	C. 371(c)(2)).						
	a. is attached hereto.								
	b. has been previously submitted under 35 U.S.C. 154(d)(4)).								
7.									
	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. have not been made	and will not be made.							
8.	An English language translat	ion of the amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371 (c)(3)).						
9. 🛚	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Ite	ms 11 to 20 below concern d	ocument(s) or information included:							
11.	An Information Disclosure St	atement under 37 CFR 1.97 and 1.98.							
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.	A preliminary amendment.								
14.	An Application Data Sheet under 37 CFR 1.76.								
15.	A substitute specification.								
16.	A power of attorney and/or cl	nange of address letter.							
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.								
18.	A second copy of the published international application under 35 U.S.C. 154(d)(4)).								
19.	A second copy of the English	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).							
20.	Other items or information.								
	Response to Notification of postcard.	Missing Requirements; copy of Notification of Missin	g Requirements; return receipt						

3	10/536,791 PCT/KR2003/002609						7260P002				
·		The following fees have been submitted 1. Basic national fee (37 CFR 1.492(a))				\$300	CA \$	LCULATIONS	PTO USE ONLY		
	22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the interntional preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33 (1)-(4) \$0 All other situations \$200								\$,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the interntional preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33 (1)-(4)										
2	TOTAL OF 21, 22 and 23 =						22 and 23 =	\$			
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.										
	Total Sheets	Extra	Sheets			additional 50 or fra up to a whole num		RATE			
	- 100 =	-65						x	\$		
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	130.00			
	CLAIMS			R FILED	NU	JMBER EXTRA	 	RATE	<u> </u>		-
	Total claims Independent cla			12 - 20 = 4 - 3 =	-	0	X	50 200	\$	0.00	
					icable)	U	+ 360		\$	0.00	
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					ATIONS -	\$	130.00			
						d	\$	130.00			
						BTOTAL =	\$	65.00			
!							\$				
						TOTAL	NATIO	NAL FEE =	\$	65.00	
	Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment mu accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per propriate cover sheet (37 CFR 3.28, 3.31).						\$	40.00			
	Other fee (specify	<i>'</i>):							ı		
	TOTAL FEES ENCLOSED = \$ 105.00										
12/27/20	25 SFREY1 00000076 10536791 Amount to be refunded: \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\										
01 FC:26	.7		65.00 0	p				charged:			\$
	a. A check(s) in the amount of \$ 105.00 to cover the above fees is enclosed.										
	b. Please charge my Deposit Account No. <u>02-2666</u> in the amount of \$ <u>105.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.										
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2666</u> . A duplicate copy of this sheet is enclosed.								lit any		
	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status. December 19, 2005										
								/			
	12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone, (408) 730, 8300				Stephe	en M. De Klerk					
					ATION NUMBER						





United States Patent and Trademark Office

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT 7260P002 10/536,791

Dong Hyun Kim

INTERNATIONAL APPLICATION NO.

PCT/KR03/02609

I.A. FILING DATE 11/29/2003

PRIORITY DATE 12/05/2002

Stephen M. De Klerk Blakely Sokoloff Taylor & Zafman 12400 Wilshire Boulevard 7th Floor Los Angeles, CA 90025

OCT 2 7 2005

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP LOS ANGELES

CONFIRMATION NO. 4525 371 FORMALITIES LETTER *OC00000017250143*

Date Mailed: 10/18/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/26/2005
- Copy of the International Search Report filed on 05/26/2005
- Copy of IPE Report filed on 05/26/2005
- Copy of Annexes to the IPER filed on 05/26/2005
- Preliminary Amendments filed on 05/26/2005
- Information Disclosure Statements filed on 05/26/2005
- U.S. Basic National Fees filed on 05/26/2005
- Priority Documents filed on 05/26/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

ENTERED OCT 28 2005

STATUS DB-LA

\$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/536,791	PCT/KR03/02609	7260P002

FORM PCT/DO/EO/905 (371 Formalities Notice)